

UNIT TRUST APPLICATION (CORPORATE)

In compliance with the Capital Markets and Services Act 2007, this form should not be circulated unless accompanied by the applicable Prospectus and its Supplementary(ies) (if any). Investor(s) should read and understand the contents of the applicable Prospectus and its Supplementary(ies) (if any) before completing this form.

IMPORTANT: Please complete this application form and tick (✓) the box where applicable. **Any alterations made must be countersigned.**

SECTION 1: CORPORATE DETAILS

Name of Corporation	:			
Company Registration No.	:	Date of Incorporation	:
Country of Incorporation	:	<input type="checkbox"/> Malaysia <input type="checkbox"/> Others (Please specify):			
Status	:	<input type="checkbox"/> Bumi Controlled <input type="checkbox"/> Non-Bumi Controlled <input type="checkbox"/> Government Controlled <input type="checkbox"/> Foreign Controlled			
Entity Type	:	<input type="checkbox"/> Sdn Bhd / Bhd <input type="checkbox"/> Govt. Agencies <input type="checkbox"/> Partnership <input type="checkbox"/> Society / Club / Charity Organisation <input type="checkbox"/> Trustee / Nominee <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Institute / Association / Foundation / Trust <input type="checkbox"/> Others (Please specify)			
Nature of Business	:	Industry	:
Source of Wealth	:	<input type="checkbox"/> Cash in Hand / Surplus of Funds <input type="checkbox"/> Working Capital <input type="checkbox"/> Disposal of Assets / Investments <input type="checkbox"/> Others (Please specify)			
Gross Annual Revenue (RM)	:			
Correspondence Address	:			
Town / City	:			
State / Territory	:	Postcode	:
Telephone No.	:	Office No.	:
Mobile No.	:	Fax No.	:
Contact Person	:			
Designation	:	Department	:
E-mail Address (For delivery of statements and reports.)	:	1) 2) 3) 4) 5)			

By providing your e-mail address to Opus Asset Management Sdn Bhd ("OpusAM"), you have consented to receive communications and/or information from OpusAM relating to your investment(s) via e-mail. Notices delivered via e-mail to applicants are deemed sent and received on the date such e-mail is sent.

Please tick (✓) if you wish to extend copies of statements and reports via e-mail to:

☐ Referrer, if any (Referrer refers to financial advisers / consultants of OpusAM's business partners.)

SECTION 2: INVESTMENT DETAILS

Fund Name :

Amount :

Please refer to "Appendix: Unit Trust Funds Bank Account Details" for bank details of the respective funds.

SECTION 3: COMMON REPORTING STANDARD (“CRS”) DECLARATION

We hereby declare and confirm that:

- ☐ We are a Malaysia tax resident only [Please proceed directly to Section 3(A).]
☐ We are a Malaysia and Non-Malaysia tax resident (Please complete the following table as well.)
☐ We are a Non-Malaysia tax resident (Please complete the following table as well.)

Please indicate your company's country of tax residence [if your company is a resident in more than one country, please detail all countries of tax residence and associated Tax Identification Numbers (“TINs”).]

Country of Tax Residence	Tax Identification No. (TIN)	If TIN or equivalent is unavailable, please tick the reason (A, B, or C)	If Reason B was chosen, please explain why
		<input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	
		<input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	

Reason A – The country/jurisdiction where the Account Holder is liable to pay tax does not issue TIN to its residents.

Reason B – The Account Holder is otherwise unable to obtain a TIN or equivalent number.

Reason C – No TIN is required. (Only select this reason if the authorities of the country of residence for tax purposes do not require the TIN to be disclosed.)

SECTION 3(A): ENTITY TYPE

Please tick (✓) one of the following categories:

Type of Entities	Categories	Tick
Financial Institution	Custodial Institution, Depository Institution or Specified Insurance Company.	
	Investment Entity, except an investment entity that is managed by another financial institution (e.g. with discretion to manage the entity's assets) and located in a non-participating jurisdiction.	
	Investment Entity located in a non-participating jurisdiction.	
Non-Financial Institution - Active Non-Financial Entity (“NFE”)	<u>Listed Company</u> Trading Name : Exchange Name :	
	Related entity of a listed company of: Exchange Name :	
	A governmental entity, an international organisation, a central bank, or an entity wholly owned by one or more of the foregoing entities.	
	Others (e.g. non-listed entity or non-profit organisation)	
	Investment Entity that is managed by another financial institution and located in a non-participating jurisdiction. (If this box is ticked, please complete Section 3(B) and include individual self-certification forms for each of your Controlling Persons.)	
Non-Financial Institution - Passive NFE	NFE that is not an active NFE. (If this box is ticked, please complete Section 3(B) and include individual self-certification forms for each of your Controlling Persons.)	

SECTION 3(B): CONTROLLING PERSON(S)

Note: Complete this part if the Account Holder is a Passive NFE for CRS.

Indicate the name of all controlling person(s) of the Account Holder in the table below:

(Each individual controlling person has to complete the Controlling Person(s) Self-Certification Form)

Name of Controlling Person(s)	
1.	
2.	
3.	
4.	

SECTION 4: FOREIGN ACCOUNT TAX COMPLIANCE ACT ("FATCA") DECLARATION

We hereby declare and confirm that:

- ☐ The company/institution was incorporated/organised in the U.S. or under the laws of the U.S.
- ☐ The company/institution was NOT incorporated/organised in the U.S. or under the laws of the U.S. BUT HAS U.S. Person(s) holding at least 10% of its shares.
Tax Payer Identification Number:
- ☐ The company/institution was NOT incorporated/organised in the U.S. or under the laws of the U.S. and DOES NOT have U.S. Person(s) holding its shares.

A U.S. Person is defined as (1) a U.S. citizen or resident in the U.S.; (2) domestic partnership; (3) domestic corporation; (4) a local estate / trust; or (5) other person that is not a foreign person in the U.S.

SECTION 5: INCOME DISTRIBUTION INSTRUCTIONS

- ☐ Reinvest ☐ Pay-out

The pay-out option will be subjected to the terms and conditions stipulated in the applicable Prospectus.

SECTION 6: BANK ACCOUNT DETAILS

Account Name :

Account Ownership : ☐ Primary Applicant ☐ Joint Applicant ☐ Primary and Joint Applicant

Bank Name :

Account No. :

Note: 1. The bank account details will be used for the payment of repurchase proceeds and income distribution pay-out (if any).
2. Payments to third parties are strictly **NOT** allowed.

SECTION 7: AUTHORITY TO OPERATE ACCOUNT

- ☐ As per Board Resolution ☐ Others (please specify)

SECTION 8: DECLARATION

- ☐ We hereby declare that the above particulars are true and correct.
- ☐ We have read and fully understood the contents of the product highlights sheet, prospectus and its supplementary(ies) (if any) of the relevant fund and all the notes, terms and conditions in this application form. We wish to invest in the above-mentioned fund and agree to be bound by the aforementioned notes, terms and conditions. We are also aware of the risks involved and the fees and charges directly and indirectly incurred when investing in this fund. We understand that past performance of a fund is not indicative of future performance and that unit prices and income distribution, if any, may go down or up.
- ☐ We understood that the definition of "short term" in Opus Shariah Short Term Low Risk Asset Fund refers to a period of 1 to 3 years. *[For Opus Shariah Short Term Low Risk Asset Fund investors only.]*
- ☐ We hereby agree to notify in writing and furnish Opus Asset Management Sdn Bhd with the relevant documentary evidence if there is any update or change to my/our FATCA/CRS status within 30 days from such change or update. We acknowledge that the information contained in this form and information regarding my account(s) with Opus Asset Management Sdn Bhd may be provided to:
- a) Inland Revenue Board of Malaysia (IRBM) and they may exchange this information with the tax authorities of other countries pursuant to intergovernmental agreements to exchange financial account information.
 - b) Any government authorities, regulatory bodies and/or any other relevant person(s) located in Malaysia and outside Malaysia in respect of the FATCA/CRS reporting requirements.

Authorised Signatory(ies)

.....
Name:

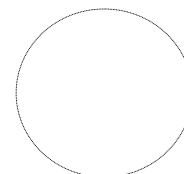
Designation:

Date:

.....
Name:

Designation:

Date:



Please Affix Company Stamp

For UTC / Distributor Use Only

OpusAM / Distributor / Referrer :

Name of UTC / MR :

UTC Code :

For Office Use Only

SECTION 9: TERMS AND CONDITIONS & DECLARATION

PLEASE READ THESE NOTES BEFORE COMPLETING THE APPLICATION FORM

1. General Terms and Conditions

- 1.1 The Applicant shall be bound by these Terms and Conditions in respect of all transactions.
- 1.2 The Applicant shall be bound by the terms in the prospectus, supplementaries (if any), product highlights sheet (collectively known as "Funds Documents"), and these Terms and Conditions with respects to all transactions.
- 1.3 Opus Asset Management Sdn Bhd ("OpusAM") shall be entitled to add, vary or amend any or all of these Terms and Conditions herein at its sole and absolute discretion without prior reference to unit holders and without any compensation to the Applicant.

2. The Applicant

- 2.1 The authorised signatory(ies) of the Applicant declares that they are the duly authorised officer(s) and warrants that the Applicant has the legal capacity and is fully empowered and authorised to make this investment.
- 2.2 The Applicant confirms that they have the right authority to request redemption of units and confirm that they will comply with the redemption procedures set out in the product highlights sheet and prospectus. All redemption instructions must be given in writing using the prescribed redemption request forms and quoting the relevant account number.
- 2.3 The Applicant agrees to notify OpusAM immediately if they become aware that any of these confirmations in this application are no longer accurate and complete in all respects.
- 2.4 The Applicant agrees to provide such confirmations to OpusAM at such times as OpusAM may request, and to provide on request such certifications, documentary evidence or other evidence as OpusAM may reasonably require to substantiate such representations.

3. Rights of OpusAM

- 3.1 OpusAM reserves the right to accept and reject any application in whole or in part thereof without any reason whatsoever without compensation to the Applicant, and the duly completed Application Form received by OpusAM are deemed irrevocable by the Applicant.
- 3.2 In the event that any application made by the Applicant is rejected by OpusAM for any reason whatsoever, any of the Applicant's subscription moneys will be returned by OpusAM to the bank which had remitted the Applicant's subscription moneys, at the Applicant's sole risk and expense, and any costs or expenses incurred thereof shall be borne solely by the Applicant.

4. Instructions

- 4.1 OpusAM is hereby authorised and instructed to accept and execute any instructions in respect of units to which this application relates given by the Applicant in written form or by facsimile. The Applicant hereby agrees to indemnify OpusAM and agrees to keep it indemnified against any loss of any nature whatsoever arising to any of them as a result of it acting upon written form or facsimile instructions. OpusAM may rely conclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instruction or other instrument believed in good faith to be genuine or to be signed by properly authorised persons.
- 4.2 The Applicant shall promptly notify OpusAM in writing of any changes to the list of authorised persons to operate the account. OpusAM is entitled to rely on the last list of authorised persons on OpusAM's record until such notification of change is received by OpusAM. OpusAM reserves the right to request other documentary evidence before acting on any change.

5. Declaration By Applicant on Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 ("AMLA")

- 5.1 We understand and acknowledge that due to AMLA, we undertake the following:
 - i. OpusAM may require further information and documents relating to the identification and source of subscription moneys of the Applicant before the application can be processed;

- ii. OpusAM may only process the application if OpusAM, in its absolute discretion, is of the opinion that it has obtained sufficient evidence to satisfy itself as to the provenance and legitimacy of such subscription moneys;
- iii. OpusAM shall be held harmless and indemnified against any loss arising as a result of any delay, refusal or failure to process the application, if any information or document required by OpusAM is incomplete or insufficient; and
- iv. That all subscription moneys paid to OpusAM by us for the investment comes from lawful sources and not from unlawful activities.

6. Tax/FATCA/CRS

- 6.1 The Applicant acknowledges that the Applicant shall be acquainted with the relevant tax laws and exchange control regulations in force in the countries of domicile, registration or incorporation of the entity. The Applicant understands that they are liable to pay and/or settle all relevant taxes payable in accordance to the relevant laws and exchange control regulations in force in the countries of domicile, registration or incorporation of the entity incurred in respect of any and all services furnished under this application form (as may be amended from time to time) directly or indirectly incurred which are imposed by or payable to any relevant authority in Malaysia and/or any other applicable law.

7. Unit Trust Loan Financing Risk Disclosure

- 7.1 Investing in a unit trust scheme with borrowed money is more risky than investing with your source of income. You should assess if loan financing is suitable for you in light of your objectives, attitude to risk and financial circumstances. You should be aware of the risks, which would include the following:
 - i. The higher the margin of financing (that is, the amount of money you borrow for every Ringgit of your own money which you put in as deposit or down payment), the greater the loss as well as gain on your own investment.
 - ii. You should assess whether you have the ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased.
 - iii. If the unit prices fall beyond a certain level, you may be asked to provide additional acceptable collateral (where units are used as collateral) or pay additional amounts on top of your normal instalments. If you fail to comply within the time prescribed, your units may be sold towards the settlement of your loan.
 - iv. Returns on unit trusts are not guaranteed and may not be earned evenly over time. This means that there may be some years where returns are high and other years where losses are experienced. Whether you eventually realise a gain or loss may be affected by the timing of sale of your units. The value of units may fall just when you want your money back even though the investment may have done well in the past.
- 7.2 This brief statement cannot disclose all the risks and other aspects of loan financing. You should therefore carefully study the terms and conditions before you decide to take a loan. If you are in doubt about any aspect of this risk disclosure statement or the terms of the loan financing, you should consult the institution offering the loan.

8. Indemnity

- 8.1 The Applicant hereby agrees to fully and effectively indemnify OpusAM and hold OpusAM harmless against any and all claims, losses, liabilities, cost and expenses (including but not limited to solicitors fees on a solicitor and client basis) arising or which may arise out of the Applicant's breach or violation of the terms and conditions hereunder or any third party rights, including but not limited to any infringement of intellectual property rights. The obligation to indemnify OpusAM by the Applicant shall survive the termination of the account and application form.
- 8.2 The Applicant hereby agrees to indemnify OpusAM against all actions, suits, proceedings, claims, damages and losses which may be suffered by OpusAM as a result of any inaccuracy of declaration herein.

9. Protecting Your Data/Information

- 9.1 The Applicant hereby declares that they shall fully comply with the provisions of the Personal Data Protection Act 2010 ("the Act") applicable to the processing of personal data as defined in the Act and specifically that all necessary consents have been obtained from individuals whose personal data may be disclosed to OpusAM ("Disclosed Data") in respect of such disclosure to and for the

purposes of processing by OpusAM and that the Applicant always furnishes OpusAM with updated Disclosed Data.

9.2 The Applicant hereby agrees that:-

- i. All information supplied on this application form and otherwise in connection with the subscription for units may be held by OpusAM and will be used for the purposes of processing the Applicant's subscription and investment in the fund and completion of information on the register of unitholders of the fund, and may also be used for the purpose of carrying out our instructions or responding to any inquiry purported to be given by us or on our behalf, dealing in any other matters relating to our holding of units (including the mailing of reports or notices), forming part of the records of the recipient as to the business carried on by it, observing any legal, governmental or regulatory requirements (including any disclosure or notification requirements) and to provide a marketing database for product and market research or to provide information for the dispatch of information on other products or services to us from OpusAM or any connected person of OpusAM. All such information may be retained after our units in the fund have been redeemed; and
- ii. OpusAM may disclose and transfer such information to the auditors and the trustee, including any of their employees, officers, directors and agents or to any third party employed to provide administrative, computer or other services or facilities to any person to whom data is provided or may be transferred as aforesaid and/or to any regulatory authority entitled thereto by law or regulation (whether statutory or not) in connection with our investment in the fund.

9.3 By law, OpusAM has to request and keep investor and investors' representatives' information to perform its obligations lawfully, fairly and competently. The Applicant consents to the processing of their personal data and information by OpusAM and agrees that such information may be made available to OpusAM's appointed custodians, licensed financial institutions, service providers, vendors, agents, advisors and any other parties in order to provide the products and/or services. Such personal data and information may also be disclosed to any other person(s) required or permitted by law or any regulatory authority. The Applicant further represents and warrants that in relation to any individual's personal data provided to OpusAM, the Applicant has obtained the individual's requisite consent for disclosure to and processing of such personal data by OpusAM.

10. Severability

- 10.1 Each of the provisions contained herein is severable and distinct from the others and if at any time one or more of such provisions is or becomes invalid, void and/or illegal, the enforceability of the remaining provisions hereof shall not in any way be affected or impaired thereby.

11. Successors Bound

- 11.1 The Application Form shall be binding upon the heirs, personal representatives, successors in title and permitted assigns of the Applicant.

12. Applicable Law

- 12.1 These Terms and Conditions shall be governed by and construed in accordance with the Laws of Malaysia.

13. Required Documents

- 13.1 Please ensure the following:

- The application form is signed by the authorised signatory(ies) of the Applicant.
- If this application form is signed under the power of attorney, such power of attorney or a duly certified copy thereof must accompany this application form.
- Any other relevant supporting documents are provided as requested by OpusAM.

Please submit this duly completed application form to:

OPUS ASSET MANAGEMENT SDN. BHD.
B-19-2, Northpoint Offices, Mid Valley City,
No, 1, Medan Syed Putra Utara,
59200 Kuala Lumpur.
Tel. No. : +603-22888882
Fax. No.: +603-22888889

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APPENDIX: UNIT TRUST FUNDS BANK ACCOUNT DETAILS

Conventional Unit Trust Funds

Opus Income Plus Fund (Opus IPF)

A/C Payee : OPUS AM TRUST AC CLIENT OPUS IPF
Bank : Malayan Banking Berhad
Address : Menara Maybank, 100, Jalan Tun Perak, 50050 Kuala Lumpur
Account No : 514012113372
BIC Code : MBBEMYKL

Opus Money Plus Fund (Opus MPF)

A/C Payee : OPUS ASSET MANAGEMENT SB TRUST ACC CLIENT OPUS MPF
Bank : Standard Chartered Bank Malaysia Berhad
Address : Level 13, Menara Standard Chartered, No. 30, Jalan Sultan Ismail, 50250 Kuala Lumpur
Account No : 312193498322
BIC Code : SCBLMYKX

Shariah Unit Trust Funds

Opus Shariah Income Plus Fund (Opus Shariah IPF)

A/C Payee : OPUS AM TRUST AC CLIENT OPUS SHARIAH IPF
Bank : Standard Chartered Saadiq Berhad
Address : No. 36, Jalan Sultan Ismail, 50250 Kuala Lumpur
Account No : 312409880224
BIC Code : SCSRMYYK

Opus Shariah Short Term Low Risk Asset Fund (Opus Shariah ST LRAF)

A/C Payee : OPUS AM TRUST AC CLIENT OPUS SHARIAH STLRAF
Bank : Standard Chartered Saadiq Berhad
Address : No. 36, Jalan Sultan Ismail, 50250 Kuala Lumpur
Account No : 312409865381
BIC Code : SCSRMYYK